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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 04860.P2753  
(maximum 12 characters)

First Named Inventor John Stauffer, et al.

Title: VIRTUALIZATION OF GRAPHICS RESOURCES

Express Mail Label No. EL 821 776 732 US

JC979 U.S. PTO  
10/04/2001  
01-88702

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. \_\_\_\_\_ Applicant Claims Small Entity Status. (37 CFR 1.27)
3.  Specification (Total Pages 32)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawings(s) (35 USC 113) (Total Sheets 8)
5.  Oath or Declaration (Total Pages 5)
  - a. \_\_\_\_\_ Newly Executed (Original or Copy)
  - b. \_\_\_\_\_ Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 18 completed)
    - i. \_\_\_\_\_ DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
    - c.  Unsigned.
6. \_\_\_\_\_ Application Data Sheet. (37 CFR 1.76)
7. \_\_\_\_\_ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. \_\_\_\_\_ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. \_\_\_\_\_ Computer Readable Form (CRF)
  - b. \_\_\_\_\_ Specification Sequence Listing on:
    - i. \_\_\_\_\_ CD-ROM or CD-R (2 copies); or
    - ii. \_\_\_\_\_ paper
  - c. \_\_\_\_\_ Statement verifying identity of above copies

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### ACCOMPANYING APPLICATION PARTS

9. \_\_\_\_\_ Assignment Papers (cover sheet & documents(s))
10. \_\_\_\_\_ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- \_\_\_\_\_ b. Power of Attorney
11. \_\_\_\_\_ English Translation Document (if applicable)
12. \_\_\_\_\_ a. Information Disclosure Statement (IDS)/PTO-1449
- \_\_\_\_\_ b. Copies of IDS Citations
13. \_\_\_\_\_ Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. \_\_\_\_\_ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: Copy of postcard with Express Mail Certificate of Mailing

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Continuation       Divisional       Continuation-in-part (CIP)  
 Of Prior Application No.: \_\_\_\_\_ Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_  
 (which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_,  
 which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that \_\_\_\_\_ (name of assignee) is  
 the assignee of the entire right, title, and interest in the accompanying patent application by  
 virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_  
 Frame No. \_\_\_\_\_ (or a copy of which is attached).

**19. Correspondence Address**

Customer Number or Bar Code Label \_\_\_\_\_  
 or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)  
 Correspondence Address Below

NAME James C. Scheller, Jr.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard, Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Name (PRINT/TYPE): Sheryl Sue Holloway Registration No.: 37,850

Signature: [Signature] Date: Jan. 8, 2002

PTO/SB/35(11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL FOR FY 2002****TOTAL AMOUNT OF PAYMENT (\$)** 1,268.00**Complete if Known:**

Application No. \_\_\_\_\_

Filing Date January 8, 2002First Named Inventor John Stauffer, et al.

Group Art Unit \_\_\_\_\_

Examiner Name \_\_\_\_\_

Attorney Docket No. 04860.P2753**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

 Check Money Order Other**FEE CALCULATION****1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
101	740	201	Utility application filing fee
106	330	206	Design application filing fee
107	510	207	Plant filing fee
108	740	208	Reissue filing fee
114	160	214	Provisional application filing fee
<b>SUBTOTAL (1) \$ <u>740.00</u></b>			

**2. EXTRA CLAIM FEES**

	<u>Extra</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>40</u>	<u>- 20</u> = <u>20</u>	X <u>18.00</u> = <u>360.00</u>
Independent Claims	<u>5</u>	<u>- 3</u> = <u>2</u>	X <u>84.00</u> = <u>168.00</u>
Multiple Dependent Claims	<u>0</u>	X <u>0</u>	= <u>0</u>

\*\*Or number previously paid, if greater; For Reissues, see below.

**Large Entity Small Entity**

<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee Description</u>
<u>Code</u>	<u>(\$)</u>	<u>Code(\$)</u>		
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)\$ <u>528.00</u></b>				

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
099	8,800	099	8,800
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,510
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	740	246	370
149	740	249	370
179	740	279	370
169	900	169	900
<b>Other fee (specify)</b> _____			
<b>Other fee (specify)</b> _____			

**SUBTOTAL (3)\$ 0.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Sheryl Sue Holloway

Signature: [Signature] Date: Jan. 8, 2002

Reg. Number: 37,850 Telephone Number: (408) 720-8300

## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor John Stauffer, et al.  
Title VIRTUALIZATION OF GRAPHICS RESOURCES

Attorney Docket No. 04860.P2753

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

JAN, 8, 2002

Date



Signature

Sheryl Sue Holloway

Typed or Printed Name

37.850

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 821 776 732 US  
 Date of Deposit: JANUARY 8, 2002

I hereby certify that I am causing this paper or fee to  
 be deposited with the United States Postal Service  
 "Express Mail Post Office to Addressee" service on  
 the date indicated above and that this paper or fee  
 has been addressed to the Assistant Commissioner  
 for Patents, Washington, D. C. 20231

CONNIE THAYER  
 (Typed or printed name of person mailing paper or fee)

Connie Thayer  
 (Signature of person mailing paper or fee)

1-8-2002  
 (Date signed)

Serial/Patent No.: \*\*\* Filing/Issue Date: January 8, 2002  
 Client: Apple Computer, Inc.  
 Title: VIRTUALIZATION OF GRAPHICS RESOURCES

BSTZ File No.: <u>04860, P2753</u>	Atty/Secty Initials: <u>JCS/SSH/c1t</u>		
Date Mailed: <u>1-8-02</u>	Docket Due Date: <u>***</u>		
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:			
<input type="checkbox"/> Amendment/Response (____ pgs.) <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) <input checked="" type="checkbox"/> Application - Utility ( <u>32</u> pgs., with cover and abstract) <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) <input type="checkbox"/> Application - Design (____ pgs.) <input type="checkbox"/> Application - PCT (____ pgs.) <input type="checkbox"/> Application - Provisional (____ pgs.) <input type="checkbox"/> Assignment and Cover Sheet <input checked="" type="checkbox"/> Certificate of Mailing <input checked="" type="checkbox"/> Declaration & POA ( <u>5</u> pgs.) <u>unsigned</u> <input type="checkbox"/> Disclosure Docs & Org & Copy of Inventor Signed Letter (____ pgs.) <input checked="" type="checkbox"/> Drawings: <u>8</u> # of sheets includes <u>11</u> figures		<input checked="" type="checkbox"/> Express Mail No: <u>EL821776732US</u> <input checked="" type="checkbox"/> Check No. <u>47531</u> <input type="checkbox"/> _____ Month(s) Extension of Time <input type="checkbox"/> Amt: <u>\$1268.00</u> <input type="checkbox"/> Information Disclosure Statement & PTO-144 (____ pgs.) <input type="checkbox"/> Check No. _____ <input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Amt: _____ <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Petition for _____ <input checked="" type="checkbox"/> Postcard <input type="checkbox"/> Power of Attorney (____ pgs.) <input type="checkbox"/> Preliminary Amendment (____ pgs.) <input type="checkbox"/> Reply Brief (____ pgs.) <input type="checkbox"/> Response to Notice of Missing Parts <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business <input checked="" type="checkbox"/> Transmittal Letter, in duplicate <u>2</u> pages <input checked="" type="checkbox"/> Fee Transmittal, in duplicate <u>2</u> pages	
<input checked="" type="checkbox"/> Other: <u>Request for Non-Publication (1 pg); copy of postcard with Express Mail certificate of mailing (1 pg)</u>			